# Neurorehabilitation and Neural Repair

http://nnr.sagepub.com/

### Topical Nerve Growth Factor as a Visual Rescue Strategy in Pediatric Optic Gliomas : A Pilot Study

Including Electrophysiology

Benedetto Falsini, Antonio Chiaretti, Giuseppe Barone, Marco Piccardi, Filomena Pierri, Cesare Colosimo, Ilaria
Lazzareschi, Antonio Ruggiero, Vincenzo Parisi, Antonello Fadda, Emilio Balestrazzi and Riccardo Riccardi
Neurorehabil Neural Repai 2011 25: 512 originally published online 26 March 2011
DOI: 10.1177/1545988310397201

The online version of this article can be found at: http://nnr.sagepub.com/content/25/6/512

> Published by: SAGE

http://www.sagepublications.com

On behalf of:

ASNR AMERICAN BOSCETY DE

American Society of Neurorehabilitation

Additional services and information for Neurorehabilitation and Neural Repair can be found at:

Email Alerts: http://nnr.sagepub.com/cgi/alerts

Subscriptions: http://nnr.sagepub.com/subscriptions

Reprints: http://www.sagepub.com/journalsReprints.nav

Permissions: http://www.sagepub.com/journalsPermissions.nav

### Topical Nerve Growth Factor as a Visual Rescue Strategy in Pediatric Optic Gliomas: A Pilot Study Including Electrophysiology

Neurorehabilitation and Neural Repair 25(6) 512–520

© The Author(s) 2011
Reprints and permission: http://www.
sagepub.com/journals/Permissions.nav
DOI: 10.1177/1545968310397201
http://nnrsagepub.com



Benedetto Falsini, MD<sup>1</sup>, Antonio Chiaretti, MD<sup>1</sup>, Giuseppe Barone, MD<sup>1</sup>, Marco Piccardi, MD<sup>1</sup>, Filomena Pierri, MD<sup>1</sup>, Cesare Colosimo, MD<sup>1</sup>, Ilaria Lazzareschi, MD<sup>1</sup>, Antonio Ruggiero, MD<sup>1</sup>, Vincenzo Parisi, MD<sup>2</sup>, Antonello Fadda, MD<sup>2</sup>, Emilio Balestrazzi, MD<sup>1</sup>, and Riccardo Riccardi, MD<sup>1</sup>

### Abstract

Background. To date, no specific therapy is available for optic glioma (OG)-induced visual loss. Objective. To evaluate the effects on visual function of murine nerve growth factor (NGF) eye drop administration in children with effects on visual function of murine nerve growth factor (NGF) eye drop administration in children with easted before and after a single 10-day course of 1 mg murine NGF topical administration by clinical evaluation, visual evoked potentials (VEPs), and brain magnetic resonance imaging (MRI). VEPs, the main functional outcome measure, were recorded at baseline and 1, 30, 45, 90, and 180 days posttreatment. MRI examinations were performed at baseline and at 180 days after NGF treatment. Six untreated control patients with OGs also undervent serial VEPs, clinical testing, and MRI seasesments. Results. After NGF treatment, median VEPs amplitude showed a progressive increase from the baseline values (P < .01). VEPs reached a maximum amplitude at 90 days (10% increase) and declined at 180 days, still remaining above the caseline level. Perception of spontaneous visual phosphenes was noted in all patients after NGF administration. MRI showed stable tumor size. In controls, clinical findings and VEPs did not show any significant change over the observation period. Conclusions. The findings from the study show that NGF administration may be an effective and safe adjunct therapy in children with optic arrophy due to OGs. The beneficial effect on optic nerve function suggests a visual rescuing mechanism exerted by murine NGF on the residual viable optic pathways.

### Keywords

nerve growth factor, visual function rescue, optic gliomas, retinal ganglion cells, visual evoked potentials

### Introduction

In children, several neoplastic diseases, such as craniopharyngiomas and optic gliomas (OGs), can compromise visual function involving both anterior and retrochiasmatic optic pathways. No specific therapy is currently available for OG-induced visual loss. Therefore, any improvement that might be obtained is of major clinical and socioeconomical value both to the patients and their relatives.

Nerve growth factor (NGF) is the first discovered neurotrophin involved in the development and survival of sympathetic, sensory, and forebrain cholinergic neurons.<sup>1</sup> In experimental animal models, NGF promotes nerve terminal outgrowth and neuron recovery after inflammatory, ischemic, and toxic injuries.<sup>23</sup> Given its actions favoring neuronal survival, NGF has been proposed for the treatment of some traumatic, ischemic, and neurodegenerative brain diseases. Intraventricular NGF administration ameliorates symptoms in adults with Parkinson and Alzheimer disease and improves cerebral blood flow in infants with hypoxicischemic brain injury. Pack Exogenous NGF showed neuroprotective effects also on the visual system! due to the presence of NGF receptors on the conjunctiva, cornea, as well as in the retinal pigment epithelium, photoreceptors, and retinal

<sup>1</sup>Catholic University of Rome, Rome, Italy <sup>2</sup>Fondazione GB Bietti per l' Oftalmologia IRCCS, Rome, Italy <sup>3</sup>Istituto Superiore di Sanità, Rome, Italy

### Corresponding Author:

Benedetto Falsini, MD, Department of Ophthalmology, Catholic University of Rome, Largo A Gemelli 8, 00168 Rome, Italy Email: bfalsini@rm.unicatt.it Falsini et al. 513

Table 1. Clinical and Demographic Data of Patients Treated With Nerve Growth Factor and Controls

Patients Treated	Age, y, at	Age, y, at NGF				Treat	ment	MRI for Tumor Burden
With NGF	Diagnosis, Sex	Treatment	NFI	OG	COD	S	CT	at 180 Days
T	0.8, Male	9.5	No	Yes	No	Yes	No	Stable
2	2.8, Female	16.4	Yes	Yes	No	No	Yes	Stable/regression
3	1.3, Male	4.6	Yes	Yes	No	No	Yes	Stable
4	4, Male	6.6	Yes	Yes	No	Yes	No	Stable
5	7.6, Female	18.5	No	Yes	No	Yes	Yes	Stable
	Age, y, at	Age, y, at						MRI for Tumor Burden
Controls	Diagnosis, Sex	Observation	NFI	OG	COD	S	CT	at 180 Days

Controls	Age, y, at Diagnosis, Sex	Age, y, at Observation	NFI	OG	COD	S	СТ	Burden at 180 Days
6	4, Female	9.3	No	Yes	No	Yes	No	Stable
7	3, Male	16.2	No	Yes	No	No	Yes	Stable
8	3, Male	4.4	Yes	Yes	No	No	No	Stable
9	2.4, Male	6.5	Yes	Yes	No	No	No	Stable/progression
10	4, Male	18.3	No	Yes	No	Yes	No	Stable
H	2.8, Female	6.5	Yes	Yes	No	No	Yes	Stable

Abbreviations: NGF, nerve growth factor; NFI, neurofibromatosis; OG, optic glioma; COD, concomitant ocular diseases; S, surgery; CT, chemotherapy; MRI, magnetic resonance imaging.

ganglion cells (RGCs).<sup>12</sup> The effects of NGF and other neurotrophins, such as brain-derived neurotrophic factor (BDNF), are mediated via uptake by RGCs, anterograde transport along the optic nerve, and release to the postsynaptic geniculate neurons.<sup>13</sup>

In experimental animal models, intraocular NGF administration keeps RGCs from degeneration after optic nerve transection and ocular ischemia and leads to the rescue of axotomized forebrain cholinergic neurons, <sup>1618</sup> while conjunctivally applied NGF proved to be effective in patients with corneal ulcers and severe glaucoma, <sup>17,18</sup> Indeed, it has been reported that NGF administered to the conjunctiva reaches rapidly the retina and the optic nerve where this neurotrophin exerts its biological activities through the uptake by RGCs. <sup>190</sup>

The aim of this study was to evaluate the safety and efficacy of NGF eye drops administration in improving optic nerve responses in advanced optic pathways damage induced by OGs. We report the treatment results of a pilot, open-label, longitudinal study in a group of 5 pediatric patients suffering from severe visual impairment associated with OG involving the chiasm and retrochiasmatic pathways. Results were compared with those obtained from an untreated control group of OG patients matched for age and disease severity.

### Methods

### Study Pobulation

This open-label study was conducted in children with OGs without or with neurofibromatosis type I (NF1) by genetically

confirmed diagnosis, admitted to the Division of Paediatric Oncology at the "Agostino Genelli" Hospital in Rome, Italy. Five patients (3 males and 2 females) with severe impairment of visual acuity and visual field from optic nerve atrophy due to the presence of the tumor were included. None of the children enrolled had concomitant ocular diseases (Table 1). The median age was 9.5 years (mean age, 11 years; range, 46-18.5 years). Three patients had a diagnosis of NF-1. Before entering the study, 3 patients underwent 1 or more courses of chemotherapy (with an induction cycle of carboplatin and vincristine as suggested by Packer), "followed by maintenance treatment with the same drugs alternated with vincristine, 1-[2-chloroethyl]-3-cyclohexyl-1-nitrosurea] CCNU/procadzaine/desametasone.

Previous

At enrollment, all children were not receiving chemotherapy and the time interval between the last chemotherapy and the inclusion into the study was more than 24 months (mean time, 59.8 months; range, 25-131 months).

Six untreated control patients with OGs were also included in the study. They were matched for age and sex distribution, as well as for disease severity and residual visual function, to the treatment group.

Clinical and demographic features of all patients are reported in Table 1. Before starting treatment, children underwent a careful general and neuro-ophthalmologic examination. The latter included intrinsic ocular motility testing, voluntary conjugate eye movements assessment, anterior segment biomicroscopy, direct and indirect ophthalmoscopy, and clinical assessment of very low visual acuity ranging from light perception to hand motion. Given the very low vision condition of our patients, the visual acuity perteatment

and posttreatment was considered as an unreliable outcome parameter, because of a possible bias in the examination. We therefore established, at the beginning of the study, to consider the visual evoked potentials (VEPs), recorded in response to steady-state flicker stimulation following a published technique, <sup>2,122</sup> so ur main outcome measure in the evaluation of potential drug efficacy. All patients had clear optical media and no concomitant disorders that could have affected the electrophysiological assessment.

Cranial and orbital magnetic resonance imaging (MRI) scans were performed using standard imaging parameters and gadolinium enhancement. Initial and follow-up scans were reviewed by one neuroradiologist (CC) to evaluate the extent and location of tumor and to record any changes after the treatment. He did not know whether patients belonged to the control group or to the treatment group, OG location was determined as involving the chiasm and retrochiasmatic pathways in all patients. Changes in tumor size/volume were assessed by measuring the 3 largest diameters of the optic/ hypothalamic gliomas, Sagittal and craniocaudal largest diameters were electronically calculated on sagittal T1-weighted postcontrast images; the largest transverse diameter was calculated on axial postcontrast T1-weighted images. In patients where the optic hypothalamic tumors showed partial contrast enhancement, the final values of the 3 largest diameters were defined using also unenhanced T2-weighted images. Moreover, the diameters of the intra-orbital optic nerves tumors were assessed on axial and coronal images. Finally—if present—the leptomeningeal metastatic deposits were also measured in terms of maximum thickness of the cisternal/leptomeningeal metastases.

All patients, both treated and controls, had participated in several repeated clinical, VEP, and MRI examinations before inclusion in the study. The clinical and VEP repeated sessions took place 2 to 4 months appart, and MRI evaluation 8 to 12 months. All patients were familiar either with the examination techniques that were employed in the study or with the personnel involved. This allowed reasonably good cooperation of all patients throughout the study. In addition, the data collected from the patients before inclusion in the study served as reference (eg., VEP test-retest variability) for comparison with the actual study data and provided a detailed picture of disease natural history for every patient.

All the enrolled patients (and/or their parents) were fully informed as to the nature and goals of the study. Written informed consent was obtained. The study followed the tenest of the Declaration of Helsinki and was approved by the ethics committee of the institution.

### Visual Evoked Potential Methods

In all patients, electrophysiological testing was always performed within 1 week of the clinical and neuroimaging examinations by an independent examiner who was masked as to the patients' clinical and MRI findings or to the study group of NFG-treated or controls.

The stimulation/recording technique has been described in detail by Trisciuzzi et al.21 Briefly, VEPs were recorded in response to the sinusoidal luminance modulation of a uniform field (temporal frequency = 8 Hz; modulation depth = 98%; mean luminance = 100 cd/m2) generated by an array of 8 LEDs (peak emission wavelength 580 nm) presented monocularly in a mini-ganzfeld. During monocular stimulation (the right and left eyes were stimulated in a random order), the nonstimulated eye was kept adapted at the same mean luminance level as the stimulus. VEPs were recorded by using surface electrodes, placed on the scalp 3 cm above the inion and referenced to the right mastoid. The left mastoid was grounded. Interelectrode resistance was kept less than 5 kΩ. Signals were amplified (100 000 times), filtered (band-pass, 1-100 Hz), sampled with 12-bit resolution on a 100-μV AC range (2 kHz sampling rate), and averaged at the stimulus period (125 ms sweep) with automatic artifact rejection (±30 μV amplitude window). For each recording, 8 blocks of 200 sweeps were collected. A discrete Fourier series of the resulting grand means was performed off-line to isolate the fundamental (1F) component of the response, whose peak-to-peak amplitude (in µV) and phase angle (in degrees) were measured.23 Since the response energy was mostly concentrated at this Fourier component, it may well represent the main VEP waveform for every record. The standard deviations (SDs) of individual blocks were measured to assess signal reliability. Typically, SDs were less than 30% for amplitude and 60° for phase. Responses were also averaged at a frequency 1.1 times the stimulus frequency to measure the residual "noise" level after averaging. Provided that noise spectrum is sufficiently smooth this gives a good estimate of noise amplitude at stimulus frequency. A "noise" evaluation was made using a control response at the same temporal frequency by presenting a subthreshold stimulus (ie, 0.001 modulation depth) whose mean luminance was the same as the actual stimulus. In both cases, the averaged noise at the 1F did not exceed 0.12 µV during all experimental sessions. At this noise level also baseline measurements that fall in the sub-microvolt region may be considered as reliable.

In both NGF-treated and control patients, potential retinal toxicity by concomitant chemotherapeutic drugs (or by NFG treatment in the former group) was excluded by ganzfeld scotopic and photopic electroretinogram testing performed according to ISCEV standards<sup>24</sup> at least twice during the observation period.

### Nerve Growth Factor Isolation

The drug used was 2.5S NGF, which was purified and lyophilized from male mouse submandibular glands and prepared according to the method of Bocchini and Angeletti. <sup>25</sup> Briefly, the submandibular glands of adult male mice were explanted Falsini et al 515

under sterile conditions and the tissues were homogenized, centrifuged, and dialyzed. This aqueous gland extract was then passed through subsequent cellulose columns, thereby separating NGF by adsorption. The first step was gel filtration at pH 7.5, in which most of the active NGF was eluted in the 80 000 to 90 000 molecular weight range. The samples obtained were analyzed by spectrophotometry at a wavelength of 280 nm to identify NGF-containing fractions. Specificity of fractions was determined by Western blot analysis. NGF purity (>95%) was estimated by high-performance liquid chromatography, the column equipped with a guard column calibrated with 40 mg of purified and bioactive murine 2.5S NGF standard. The NGF obtained was then dialyzed and lyophilized under sterile conditions and stored at -20°C until used. Biological activity of purified NGF was evaluated by in vitro stimulation of neurite outgrowth in rat pheochromocytoma PC12 cells over a period of 7 to 14 days. Subsequently, NGF was dissolved in 0.9% sterile saline solution in concentrations of 200 µg/mL. The concentration of NGF in this solution was stable for the entire treatment time.

# Nerve Growth Factor Eve Drop Administration

NGF eve drop was administered after at least 2.5 years from the diagnosis of OGs, if the patients showed loss or severe impairment of visual acuity. A total of 1 mg of NGF diluted in 5 mL of saline solution was administered onto the conjunctiva of both eyes for 10 consecutive days 3 times a day. This amount is considered sufficient to reach and stimulate NGF receptors in most cerebral cholinergic areas of the brain and optic pathways, as previously reported in the literature.7 We preferred to use murine NGF, instead of human-recombinant NGF, because contrasting results have been reported on the efficacy of the latter, mainly due to a lack of in vivo studies. Clinical studies using human NGF have been performed only in patients with sensory polyneuropathy reporting either positive effects26 or no significant effects on this condition.27 Currently, there are no studies on conjunctivally applied human NGF, unlike that reported for murine NGF demonstrating a therapeutic effect for corneal pathologies17 or supporting a potential therapeutic effect for retinal degenerations.18 In addition, there is no evidence showing that the human NGF is able to reach and affect the optic nerve and brain areas, such as the septum and Meynert basal nuclei, as reported for murine NGF. 19,28

### Follow-up and Testing Schedule

In both groups of patients (the NGF treated and the controls), clinical, VEP, and MRI examinations were performed at the beginning of the follow-up period. In the treatment group, clinical and VEP testing were repeated at 10, 30, 45, 90, and

180 days after the beginning of the NGF treatment. MRI was repeated at 180 days. In the control group, clinical and VEP examinations were repeated at the same time points throughout the observation period. MRI was repeated at 180 days.

## Ocular and Systemic Complications Potentially Related to NGF Administration

During the entire period of assessment (180 days; see below) particular attention was paid to detect ocular and/or systemic side effects. Potential ocular complications included inflammation (external or on the iris and/or ciliary body), pain, development of lens opacities, and increased intraocular pressure. Systemic complications included acutely increased intracranial pressure and development of acute intratumor hemorrhagic infarction, as well as allergic reactions. Progression of the OG mass, as reflected by a volumetric increase of more than 25% from baseline at brain MRI, was regarded as a potential adverse outcome event. Other potential side effects related to NGF administration were systemic pain as well as weight loss, as previously reported in the literature.<sup>2</sup>

### Statistical Analysis

VEP amplitude and phase results were evaluated in both treated and control patients by nonparametric statistics. Data obtained over the follow-up period were statistically analyzed using a method that takes into account the circular distribution of phase space after conversion of amplitude and phase data into cosine and sine values.29 Longitudinal changes (baseline vs end of follow-up) of VEPs from OGs patients were evaluated by statistically comparing (by means of nonparametric analysis of variance [ANOVA]) the amplitude and phase values across the recording sessions. Percentage amplitude difference (ie. 2nd - 1st test/1st test × 100) and phase difference in degrees (taking into account circular phase distribution) between test results were calculated for each patient and the median, 5th, and 95th percentiles of the resulting distributions established. In some analyses, VEP amplitudes were also converted to log 10 values to better approximate normal distribution. In all the analyses, a P value < .05 was considered as statistically significant.

### Results

In treated patients, no local or systemic adverse events related to NGF treatment were observed either during treatment or over the 180-day period of follow-up.

At baseline, in all patients the visual acuity was extremely low, ranging from light perception to hand motion perception at the distance of 10 cm. Pupils were normal in shape and size (mean pupil size, 4 mm; range, 3.5-5 mm). Ganzfeld

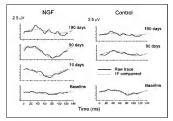


Figure 1. Representative visual evoked potential waveforms recorded in a nerve growth factor (NGF)-treated patient at baseline, 10, 90, and 180 days. For each record, the Fourieranalyzed fundamental harmonic component is also shown (in grey). Data from a control patient are shown at baseline, 90, and 180 days of follow-up. For each record, the sweep duration is equivalent to 1 stimulus cycle (8 LP, 125 ms).

electroretinograms (ERGs) were normal in both amplitude and implicit time. This allowed us to exclude any potential retinal damage due to the previous chemotherapeutic treatment.

After NGF treatment and during the 180-day period of follow-up, patients and/or parents on their behalf reported some visual improvements during and after the treatment. All of them reported phosphene perception at night, and some figures' shapes instead of light only in the daylight. In particular, a patient (case 2 in Table 1) started watching TV using an anomalous head posture. Subjective symptoms of visual improvement were never reported in the untreated controls during the follow-up. No changes in pupil size or pupillary light response were detected after NGF treatment.

The flicker VEPs results are shown in Figures 1 to 3. In Figure 1, representative VEP waveforms recorded in an NGF-treated patient at baseline, 10, 90, and 180 days are shown.

The response fundamental component, shown in grey, isolated by Pourier analysis, is also reported in the figure for each record. Data from a control patient are shown at baseline, 90, and 180 days of follow-up. It can be noted that in the treated patient, but not in the control, the VEP response showed a clear increase in amplitude at both 90 and 180 days compared with baseline.

In Figure 2, box plots showing the distribution of VEP amplitude values recorded in NGF-treated patients at baseline and at various times following treatment are reported. Each box shows 75th, 50th (median), and 25th percentiles. The point inside each box indicates the mean. Error bars show 99th and 1st percentiles. In all treated children, median VEP amplitude, severely attenuated at baseline compared with normal values, 25 showed a sharp increase

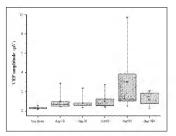


Figure 2. Box plots showing the distribution of visual evoked potential (VEP) If amplitude values recorded in nerve growth factor (NGF)-treated patients at baseline and at various times following NGF treatment. Each box shows 75th, 50th, and 25th percentiles. The point inside the box indicates the mean. Error bars show 99th and 1st percentiles.

**Table 2.** Test–Retest Variability Measurements for VEP IF Component Recorded in Control Patients Before Inclusion in the Study

	VEP 1F Amplitude (μV)					
	1 st Test	2nd Test	% Difference			
Median	0.66	0.71	7.45			
5th percentile			-55.47			
95th percentile			51.08			

Abbreviation: VEP, visual evoked potential.

<sup>a</sup>Percentage difference in amplitude (µV) between second and first tests.

immediately after the end of the treatment. This improvement lasted throughout the entire follow-up period, progressively reaching a maximum after 90 days (170%) and declined afterward, but still remained above the posttreatment values. The changes shown in Figure 2 were statistically significant by nonparametric, repeated-measures ANOVA (P < 0.1). No statistically significant changes in the VEP phase of the treated patients were found throughout the follow-up. In the untreated controls, no significant changes in VEP amplitude and phase were found throughout the follow-up. The magnitude of VEP amplitude changes found during the follow-up in the treated patients was significantly greater than that observed in the test-reetest assessment either in the untreated controls or in the treated patients before inclusion in the study (see Methods).

Table 2 reports the variability of VEP measurements obtained in 2 VEP recording sessions recorded 6 months Falsini et al 517

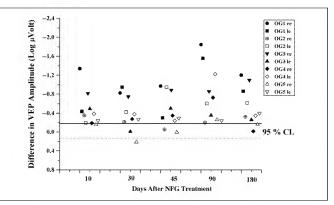


Figure 3. Scatterplots showing the individual visual evoked potential (VEP) log amplitude changes from baseline (ie, log amplitude at a given recording session minus log amplitude at baseline) observed in each eye of all participants at the different follow-up times. Horizontal lines in the plot indicate the 95% confidence limits for test-retest amplitude changes observed in the same patients before inclusion in the study. NOF, nerve growth factor.

apart in the untreated controls. It can be noted that the difference within tests is smaller and divided between changes above or below the baseline level, as compared with the changes observed in treated patients after NGF treatment.

These last findings are shown in Figure 3, which depicts, as scatterplots, the individual VEP log amplitude changes from baseline (ie, log amplitude at a given recording session minus log amplitude at baseline or log amplitude ratio) observed in each eye of all participants at the different follow-up times. Horizontal lines in the plot indicate the 95% confidence limits for test-retest amplitude changes observed in the same patients before inclusion in the study. VEP amplitude increased following treatment in most of the recordings, indicating that the general trend toward an improvement was observed in all individual patients. In addition, in most of the recording sessions the VEP amplitude increase was higher than the 95% confidence limits established for test-retest variability observed in the same patients before the study. MRI evaluation, performed at baseline and after 180 days in the treated and untreated children, did not show any significant change in tumor size and volume after NGF treatment. Both morphometric and morphological evaluations on the optic pathways after 180 days did not show any changes compared with the baseline (Table 1).

### Discussion

We evaluated safety and efficacy of NGF, administered via the conjunctiva, as a potential neuroprotective factor for severely damaged optic pathways in children suffering from GGs. Although spontaneous regression of optic pathway gliomas associated with significant visual improvement may occur in rare patients, <sup>30</sup> we are unaware of any cases in which children with vision in the range of our patients spontaneously improved. Thus, we do not believe that the clinical and electrophysiologic improvement observed in our patients were spontaneous, but on the contrary, we suppose that this improvement was related to the signaling effect on damaged but still viable RGCs exerted by NGF. This effect resulted in an increased visual response from these cells.

Our study demonstrated that in treated patients the functional electrophysiological results showed a significant improvement of the flicker-evoked VEP amplitudes following NGF administration. The magnitude of such improvement was far greater than the test-retest variability observed either in treated patients before their enrolment or in untreated controls. The prolonged and sustained time course of VEPs amplitude changes following a short NGF treatment course (10 days) suggests a sequence of molecular events into the surviving RGCs leading to a recovery of their physiologial responses.

It is known that RGCs express NGF receptor (TrkA) and that NGF, binding to TrkA, upregulates Bcl-2 protein, which protects cells from apoptosis by preventing caspase activation. <sup>3,32</sup> Furthermore, intravitreal NGF delivery to the retina and optic nerve is crucial to the survival of RGCs and for functional recovery of the retina following ocular ischemia and hypertension in experimental animal models. <sup>15,33</sup> Last, conjunctivally applied NGF has been shown to reach sharply the retina and optic nerve where this neurotrophin exerts its biological activities. <sup>19</sup>

Ten days of eye drop NGF administration in 5 blind or severely visually impaired children resulted in a significant improvement in VEP responses. Despite any attempt to control the effects of tumor progression on optic pathways by medical and chemotherapy treatment, these patients had progressive visual loss and severe abnormalities in their VEP responses. These electrofunctional abnormalities reflected a dysfunction of the innermost retinal layers. delay in visual cortical responses, and delay in neural conduction along postretinal visual pathways related to RGCs and optic nerve degeneration. The significant improvement of VEPs observed in NGF-treated patients suggests a functional recovery of RGCs and an improvement of neural conduction along the postretinal visual pathways. These effects confirm the key role of neurotrophins in modulating RGCs function and visual cortical neuronal activity reflected by receptive field size and response latency.34-36

Improvement of VEP amplitude persisted for about 180 days after discontinuation of treatment, indicating that changes induced by NGF had a prolonged duration. This prolonged and stable NGF effect may be related not only to a protective activity against neural apoptosis but also to the formation of new neural pathways, since it is known that NGF promotes neuronal repair and axonal regeneration. 37-39 NGF acts on different levels to promote neuronal recovery following ischemic, inflammatory, and traumatic injuries: through a neosynaptogenetic mechanism, by directly affecting precursor cells, and/or by induction of other growth factors. such as BDNF, whose neuroprotective effects on visually evoked RGC responses after optic nerve section has been reported. 13,40-43 These different neuroprotective mechanisms exerted by NGF may cause the significant improvement of VEP response observed during and after NGF treatment.

In our patients, the electrofunctional changes of VEPs after NGF administration were also followed by some clinical ameliorations, without any systemic or ocular side effects. Reporting on subject improvements was difficult to obtain in these subsets of patients since their residual sight was almost null. Nevertheless, we asked them or their parents to report on any new visual sign or behavior attributable to the improved visual function. Different from untreated controls, who never reported subjective symptoms of visual improvement during the follow-up period, all children treated

with NGF had phosphenes perception at night, reporting figures' shapes instead of light only, and, in I case, attempting watching TV. In this last case, we assumed the child was trying to involve a part of the peripheral temporal visual field that was still sensitive to visual stimuli. All these signs could be considered as indicators of partial visual recovery after NGF administration, supporting the hypothesis that conjunctivally applied NGF can reach easily the retina and the optic nerve where this neurotrophin exerts its neuroprotective effects on residual viable optic pathways, as previously reported both in experimental animal models and in adult patients with severe glaucoma. [810]

In conclusion, this is a first step toward the development of a large clinical project aimed at evaluating the potential effectiveness of NGF eye drop administration for improving visual function in patients with low-grade OGs affecting the optic pathways. The current preliminary findings and the ease of administration of the drug make it worthwhile to be investigated further, mainly in OG patients with better baseline visual functions, in order to explore more thoroughly the benefits of NGF on visual function recovery. Although further controlled, randomized, double-blind studies are needed for a better understanding of the neuroprotective mechanisms of this neurotrophin, eye drop NGF administration appears to be a promising rescuing strategy for the treatment of children with different neurodegenerative diseases that involve the optic pathway.

### Authors' Note

Benedetto Falsini and Antonio Chiaretti contributed equally to this study.

### Declaration of Conflicting Interests

The author(s) declared no potential conflicts of interest with respect to the authorship and/or publication of this article.

### Funding

The author(s) received no financial support for the research and/ or authorship of this article.

### References

- Levi-Montalcini R. The nerve growth factor 35 years later. Science, 1987;874:1154-1162.
- DeKosky ST, Taffe KM, Abrahamson EE, Dixon CE, Koehanek PM, Ikonomovie MD. Time course analysis of hippocampal nerve growth factor and antioxidant enzyme activity following lateral controlled cortical impact brain intury in the rst. J Neuropauma. 2004;21:491-500.
- Verge VM, Merlio JP, Grondin J, et al. Colocalization of NGF binding sites, trkA mRNA, and low-affinity NGF receptor mRNA in primary sensory neurons: responses to injury and infusion of NGF. J Neurosci. 1992;12: 4011-4025.

Falsini et al 519

 Chiaretti A, Barone G, Riccardi R, et al. NGF, DCX, and NSE upregulation correlates with severity and outcome of head trauma in children. *Neurology*, 2009;72:609-616.

- Mußon EJ, Kroin JS, Sendera TJ, Sobreviela T. Distribution and retrograde transport of trophic factors in the central nervous system: functional implications for the treatment of neurodegenerative diseases. Prog Neurobiol. 1999;57:451-484.
- Kordower JH, Isacson O, Leventhal L, Emerich DF. Cellular delivery of trophic factors for the treatment of Huntington's disease: is neuroprotection possible? Prog Brain Res. 2000;127:414-430.
- Eriksdotter Jönhagen M, Nordberg A, Amberla K, et al. Intracerebroventricular infusion of nerve growth factor in three patients with Alzheimer's disease. Dement Geriatr Cogn Disord. 1998:9:246-257.
- Olson L, Backlund EO, Ebendal T, et al. Intraputaminal infusion of nerve growth factor to support adrenal medullary autografts in Parkinson's disease. One-year follow-up of first clinical trial. Arch Neurol. 1991;48:373-381.
- Chiaretti A, Antonelli A, Genovese O, et al. Intraventricular nerve growth factor infusion improves cerebral blood flow and stimulates doublecortin expression in two infants with hypoxic-ischemic brain injury. Neurol Res. 2008;30:223-228.
- Chiaretti A, Genovese O, Riccardi R, et al. Intraventricular nerve growth factor infusion: a possible treatment for neurological deficits following hypoxic-ischemic brain injury in infants. Neurol Res. 2005;27:741-746.
- Micera A, Lambiase A, Aloe L, Bonini S, Levi-Schaffer F, Bonini S. Nerve growth factor involvement in the visual system: implications in allergic and neurodegenerative diseases. Cytokine Growth Factor Rev. 2004;15:411-417.
- Carmignoto G, Comelli MC, Candeo P, et al. Expression of NGF receptor mRNA in the developing and adult rat retina. Exp Neurobiol. 1991;111:302-311.
- Caleo M, Menna E, Chierzi S, Cenni MC, Maffei L. Brainderived neurotrophic factor is an anterograde survival factor in the rat visual system. *Curr Biol.* 2000;10:1155-1161.
- Carmignoto G, Maffei L, Candeo P, Canella R, Comelli C.
   Effect of NGF on the survival of rat retinal ganglion cells
- following optic nerve section. J Neurosci. 1989;9:1263-1272.
   Siliprandi R, Canella R, Carmignoto G. Nerve growth factor promotes interioral recovery of retinal ganglion cells after ischemia. Invest Ophthalmol Vis Sci. 1993;34:3232-3245.
- Tuszynski MH, Roberts J, Senut MC, U HS, Gage FH. Gene therapy in the adult primate brain: intraparenchymal grafts of cells genetically modified to produce nerve growth factor prevent cholinergic neuronal degeneration. Gene Ther. 1996;3:305-314.
- Lambiase A, Rama P, Bonini S, Caprioglio G, Aloe L. Topical treatment with nerve growth factor for comeal neurotrophic ulcers. N Engl J Med. 1998;338:1174-1180.
- Lambiase A, Aloe L, Centofanti M, et al. Experimental and clinical evidence of neuroprotection by nerve growth factor

- eye drops: Implications for glaucoma. Proc Nat Acad Sci U S A. 2009:106:13469-13474
- Lambiase A, Tirassa P, Micera A, Aloe L, Bonini S. Pharmacokinetics of conjunctivally applied nerve growth factor in the retina and optic nerve of adult rats. *Invest Ophthalmol Vis* Sci. 2005;46:3800-3806.
- Packer RJ, Lange B, Ater J, et al. Carboplatin and vincristine for recurrent and newly diagnosed low-grade gliomas of childhood. J Clin Oncol. 1993;11:850-856.
- Trisciuzzi MT, Riccardi R, Piccardi M, et al. A fast visual evoked potential method for functional assessment and follow-up of childhood optic gliomas. Clin Neurophysiol. 2004;11:217-226.
- Falsini B, Ziccardi L, Lazzareschi I, et al. Longitudinal assessment of childhood optic gliomas: relationship between flicker visual evoked potentials and magnetic resonance imaging findings. J Neurooncol. 2008;88:87-96.
- Fadda A, Falsini B, Neroni M, Porciatti V. Development of personal computer software for a visual electrophysiology laboratory. Comput Methods Programs Biomed. 1989;28:45-50.
- Marmor MF. An international standard for electroretinography. *Doc Ophthalmol*. 1989;73:299-302.
- Bocchini V, Angeletti PU. The nerve growth factor: purification as a 30,000 molecular weight protein. Proc Natl Acad Sci U.S.A. 1969;64:787-794.
- McArthur JC, Yiannoutsos C, Simpson DM, et al. A phase II trial of nerve growth factor for sensory neuropathy associated with HIV infection. AIDS Clinical Trials Group Team 291. Neurology. 2000;54:1080-1088.
- Apfel SC, Schwartz S, Adomato BT, et al. Efficacy and safety
  of recombinant human nerve growth factor in patients with
  diabetic polyneuropathy: a randomized controlled trial. rhNGF
  Clinical Investigator Group. JAMA. 2000;284:2215-2221.
- Lambiase A, Pagani L, Di Fausto V, et al. Nerve growth factor
  eye drop administrated on the ocular surface of rodents affects
  the nucleus basalis and septum: biochemical and structural
  evidence. Brain Res. 2007;1127;45-51.
- Zar JH. Circular distributions. In: Zar JH, ed. Biostatistical Analysis. Englewood Cliffs, NJ: Prentice Hall; 1974;310-328.
- Parsa CF, Hoyt CS, Lesser RL, et al. Spontaneous regression of optic gliomas: thirteen cases documented by serial neuroimaging. Arch Orbitalmol. 2001;119:516-529.
- Coassin M, Lambiase A, Sposato V, Micera A, Bonini S, Aloe L. Retinal p75 and bax over expression is associated with retinal ganglion cells apoptosis in a rat model of glaucoma. Graefes Arch Clin Exp Ophthalmol. 2008;246:1743-1749.
- Ia Sala A, Corinti S, Federici M, Saragovi HU, Girolomoni G. Ligand activation of nerve growth factor receptor TrkA protects monocytes from apoptosis. J Leukoc Biol. 2000;68: 104-110.
- Lambiase A, Centofanti M, Micera A, et al. Nerve growth factor (NGF) and NGF antibody exacerbates retinal damage induced in rabbit by experimental ocular hypertension. Graefes Arch Clin Exp Ophthalmol. 1997;235:780-785.

- 34. Pizzorusso T, Porciatti V, Tseng JL, Aebischer P, Maffei L. Transplant of polymered capsulated cells genetically engineered to release nerve growth factor allows a normal functional development of the visual cortex in dark-reared rats. Neuroscience. 1997;80:307-311.
- Lodovichi C, Berardi N, Pizzorusso T, Maffei L. Effects of neurotrophins on cortical plasticity: same or different? J Neurosci. 2000;20:2155-2165.
- Rohrer B, Korenbrot JI, LaVail MM, Reichardt LF, Xu B. Role of neurotrophin receptor TrkB in the maturation of rod photoreceptors and establishment of synaptic transmission to the inner retina. J Neurosci. 1999;19:8919-8930.
- Hefti F. Nerve growth factor promotes survival of septal cholinergic neurons after fimbrial transections. J Neurosci. 1986;6:2155-2162.
- Shimohama S, Ogawa N, Tamura Y, et al. Protective effect of nerve growth factor against glutamate induced neuro-

- toxicity in cultured cortical neurons. Brain Res. 1993;632: 296-302
- Lu B. Acute and long-term synaptic modulation by neurotrophins. Prog Brain Res. 2004;146:137-150.
- Sofroniew MV, Howe CL, Mobiley WC. Nerve growth factor signaling, neuroprotection, and neural repair. Annu Rev Neurosci. 2001;24:1217-1281.
- Lenzi L, Coassin M, Lambiase A, Bonini S, Amendola T, Aloe L. Effect of exogenous administration of nerve growth factor in the retina of rats with inherited retinitis pigmentosa. *Vision Res.* 2005;45:1491-1500.
- Frost DO. BDNF/trkB signaling in the developmental sculpting of visual connections. Prog Brain Res. 2001; 134;35-49.
- Chen H, Weber AJ. BDNF enhances retinal ganglion cell survival in cats with optic nerve damage. *Invest Ophthalmol Vis Sci.* 2001;42:966-974.